

FILE NO.: AP33567 - 070457.1062

PATENT 6-16-07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

: Kranzley et al.

Serial No.

09/963,274

Examiner:

Not Yet Assigned

Filed

: September 26, 2001

Group Art Unit:

2165

For

A UNIVERSAL AND INTEROPERABLE SYSTEM AND METHOD UTILIZING A UNIVERSAL CARDHOLDER AUTHENTICATION FIELD (UCAF) FOR AUTHENTICATION DATA COLLECTION

AND VALIDATION

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

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Robert C. Scheinfeld

Attorney Name

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31,300

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Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

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In accordance with 37 C.F.R. §§§ 1.56, 1.97 and 1.98, applicants enclose herewith references for consideration by the Patent and Trademark Office with respect to the above-identified application. Also enclosed is Form PTO-1449 listing the enclosed references.

Applicants request that the listed documents be considered and made of record in the application if appropriate.

NY02:444103.1 1

FILE NO.: AP33567 – 070457.1062 PATENT

- 1. U.S. Publication 2001/0034720 A1 to Armes, published on Oct. 25, 2001.
- 2. U.S. Patent 6,072,870 to Nguyen, issued June 6, 2000; and
- 3. U.S. Patent 5,317,636 to Vizcaino, issued May 31, 1994.

Any required fees may be charged to Deposit Account No. 02-4377.

Respectfully submitted,

Dated: June 5, 2003

Robert C. Scheinfeld

Patent Office Reg. No. 31,300

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Encls.

Form PTO-1449 U.S. Department of Commerce (REV. 2-82) Patent and Trademark Office	Atty. Docket No. AP33567 – 070457.1062	Serial No. 09/963,274		
INFORMATION DISCLOSURE STATEMENT STATEMENT BY APPLICANT	Applicant Hogan et al.			
(Use several sheets if necessary)	Filing Date September 26, 2001	Group 2165		
PATENT & THE	Examiner To Be Assigned			

Exam. Init.	Document No.				Date Name	Name	Class	Subclass	Filing Date if Appropriate			
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ì	6	0	7	2	8	7	0	6/6/00	Nguyen, et al.	380	24	
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^{*} Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.